## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Guillermo Guzman, et al

Serial No:

Examiner: TBA

Filed:

Group Art Unit: TBA

For:

UNDERLAYER FOR POLYSILICON TFT

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Vincent T. Kung Registration No. 45,797 Corning Incorporated

SP-TI-03-1

Corning, NY 14831 (607) 974-0608

Date: September 23, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on September 23, 2003

Date of Deposit

Vincent T. Kung
Name of applicant, assignee, or
Registered Representative

September 23, 2003

Date of Signature

Revision: September 1, 2002

P:\Applications\2002\SP02-152\IDSD.dot

FORM PTO-1449 (MODIFIED)					ATTORNEY DOCKET NO.			SERIAL NO.			
LIST OF PATENTS AND PUBLICATIONS				SP02-152							
FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT					APPLICANT						
					Guillermo Guzman, et al.						
				FILING DATE			GROUP:				
							TBA				
REFERE	ENCE D	ESIGNATION		U.	S. PATENT DOCUMENTS	5		_			
Examiner Initial		Document Number	Date		Name		ss	Sub- Class		Filing Date if Approp.	
	AA	5,108,843	04-28-9	2	2 Kouichi Ohtaka et al.		428				
	AB	5,970,368	10-19-99 07-11-00 09-04-01		Hideyuki Sasaki et al.	438		446			
	AC	6,086,945			Atsushi Kamata et al.	427		74			
<del></del>	AD	6,284,682			Tomasz Troczynski et al.	501		12			
	AE								<b>-</b>		
	AF					1			<del></del>		
	AG					<u> </u>					
	AH										
	AI					<u> </u>		***************************************			
	AJ										
	AK										
		F	ORFIGN	P.	ATENT DOCUMENTS		-				
		Document Number	Date		Country	Class		Sub-	Trans	Translation	
								Class	Yes	No	
	AL	0 810 638	12-03-9	7	EPC				X		
	AM										
	AN										
	AO										
	AP										
	AQ										
		OTHER ART (I	ncluding	Au	thor, Title, Date, Pertinent I	Pages, e	etc.)	)			
	AR							5.00			
	AS					***************************************		*****	-		
	AT										
	AU										
	AV										
	AW										

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

**EXAMINER:**